

NCPRO's Role

- Established under Session Law 2020-4 of House Bill 1043
- Oversee and coordinate all COVID-19 funds administered by the Governor's Office
- Serve as the point of contact for the State's pandemic economic recovery efforts and develop a plan for NC's recovery
- Provide technical assistance and ensure coordination of federal funds received by state agencies and local governments

NCPRO Management of Funds

Funds	Federal Agency	Amount	Direct Recipients	Expenditure Date	Final Reporting Date (frequency of reports)
Coronavirus Relief Funds	US Treasury	\$3.6 billion	<ol style="list-style-type: none"> Hospitals Non-profits Local governments State agencies 	December 31, 2021	September 30, 2022 (quarterly)
Emergency Rental Assistance (Parts 1 and 2)	US Treasury	\$1.1 billion	<ol style="list-style-type: none"> State agency Counties (12) 	September 30, 2022 (ERA 1) September 30, 2025 (ERA 2)	December 31, 2022 (ERA 1; monthly and quarterly) December 31, 2025 (ERA 2; monthly and quarterly)
Homeowners Assistance Funds	US Treasury	\$273.3 million	State agency	September 30, 2026	TBD (quarterly and annual reports required)
Governor's Emergency Education Relief Funds (parts 1 and 2)	US Department of Education	\$138.6 million (plus unused EANS)	<ol style="list-style-type: none"> State educational entities Non-profit 	September 30, 2022 (part 1) September 30, 2023 (part 2)	February 1, 2024 (annual reports due each February 1)
Economic Development Administration (Tourism and Strategy)	US Department of Commerce	\$6.4 million (tourism) \$1 million (strategy)	<ol style="list-style-type: none"> State agencies Non-profit 	May 31, 2027 (tourism) May 1, 2024 (strategy)	September 30, 2027 (tourism) August 29, 2024 (strategy - semiannual reports due April 30 and Oct 31)
American Rescue Plan Act - State Fiscal Recovery Funds	US Treasury	\$5.4 billion	<ol style="list-style-type: none"> State agencies Non-profits 	December 31, 2026	June 30, 2027 (quarterly expenditure; annual plan)
American Rescue Plan Act - Capital Project Fund	US Treasury	\$273.6 million	State agency	December 31, 2026	June 20, 2027

Overview of SFRF Process

Step 1

Agency receives template from NCPRO and meets to discuss questions

Step 2

Agency returns template and budget projections to NCPRO

Step 3

NCPRO processes documents and sends agency electronic MOU for signature

Step 4

Agency signs MOU electronically, and it is routed back to NCPRO

Step 5

Agency and NCPRO set up PANGRAM account for each

Step 6

Agency requests and receives funds

Step 7

Agency develops pre-contracting processes, contracts, risk assessment, compliance and monitoring plans for projects; NCPRO advises and provides training to agencies on these requirements

Step 8

Agency provides monthly reports to NCPRO, requests additional funds as needed (on a quarterly basis) and maintains documentation on compliance and monitoring; Agency develops performance measures

Step 9

Agency ensures obligation of funds by December 31, 2024, and expenditure of funds by December 31, 2026, and notifies NCPRO as challenges arise

How NCPRO Works with State Agencies

- Grants management assistance
 - Answer questions and provide technical assistance and support
 - Communicate on financial management guidance and processes to efficiently meet financial reporting requirements
- Templates, procedures and training
- Reporting assistance
- Additional staff support through shared procurement
- State agency working groups to work through common challenges and share information on recovery
 - Legal
 - Communications
 - Management
 - Audit preparation

Overview of Strategic Performance Management Project

The Challenge

NCPRO has been tasked with overseeing and coordinating funds, providing technical assistance, and ensuring coordination, proper reporting and accounting of ARPA funds, including \$5.4 billion in State Fiscal Recovery Funds (SFRF) and additional funding across other programs including Emergency Rental Assistance and the Homeowners Assistance Fund. NCPRO is charged with providing oversight and assistance to State agencies, and the agencies are responsible for developing goals and measures to ensure the most optimal outcomes for their projects.

The Approach

Set strategic goals and identify implementation challenges to address through a Strategic Alignment Lab

Develop performance measures framework and create a technical assistance toolkit to support Administering Agencies

Build templates to track implementation of funding and projects to support compliance

Develop dashboards to support tracking of ARPA funding, project performance, and outcomes

What does success look like for North Carolina?

During the Strategic Alignments Lab, Deloitte facilitated a strategic alignment activity that allowed lab participants to think about their recipes for success that would lead to communities in North Carolina recovering from the COVID-19 pandemic.

Success means North Carolinians have

ECONOMIC

OPPORTUNITY

ACCESS to

resources, and live in a

RESILIENT and

EQUITABLE society.

What We Heard

Improving the quality of life for North Carolinians particularly for vulnerable populations

Emerging from COVID-19 with equity as a consideration and beginning to measure it

Maximizing the opportunity to impact the most people for the greatest amount of time

Better off than we were before the pandemic, especially our underserved and historically marginalized communities

100% of households with children have internet subscription

High-quality education across the State

High employment

Grow economy while creating and retaining jobs

Strengthening and positioning critical infrastructure to respond to future stressors

Resilient water infrastructure

Resilient infrastructure that sets up future generations for success


More people move to North Carolina


North Carolina and local leaders understand the impact of money in their area


MeasureUp Support


NCPRO is developing resources to assist agencies in developing performance measures and tracking project status. Although focused on State Fiscal Recovery Funds (SFRF) requirements these resources are applicable to other federally funded programs. We are currently piloting materials with select agencies.

Technical Assistance Toolkit


 **Training Session** – Provide direction on metric creation, tracking, and reporting, to include an overview US Treasury guidance, goalsetting, Key Performance Indicators (KPIs), the Performance Measurement Framework, and project planning.


 **Workshop** – Agencies are in different stages of performance measure development and project tracking, and a workshop can provide additional assistance to help an agency start or refine their approach, to include in-depth support to identify goals and develop KPIs and project plans.

 **Job Aids and Templates** – To complement the training session and workshop, offer standardized templates and additional resources for agencies.

 **Communications and Engagement Plan** - Strategy for sharing toolkit with agencies, to include identifying communication goals, target audiences, and timeline for delivery.

Project Management & Tracking

 **Implementation Plan** – Develop a scalable project implementation plan template that will enable Administering Agencies to focus on driving impact in their communities and less on intensive project tracking and reporting tasks.

 **Tracking Template** – Create a template that identifies project progress and possesses the functionality to visualize milestones, leads, dependencies, and milestones to break projects into easily achievable components.



SFRF Dashboard Development

1 Discovery and Design

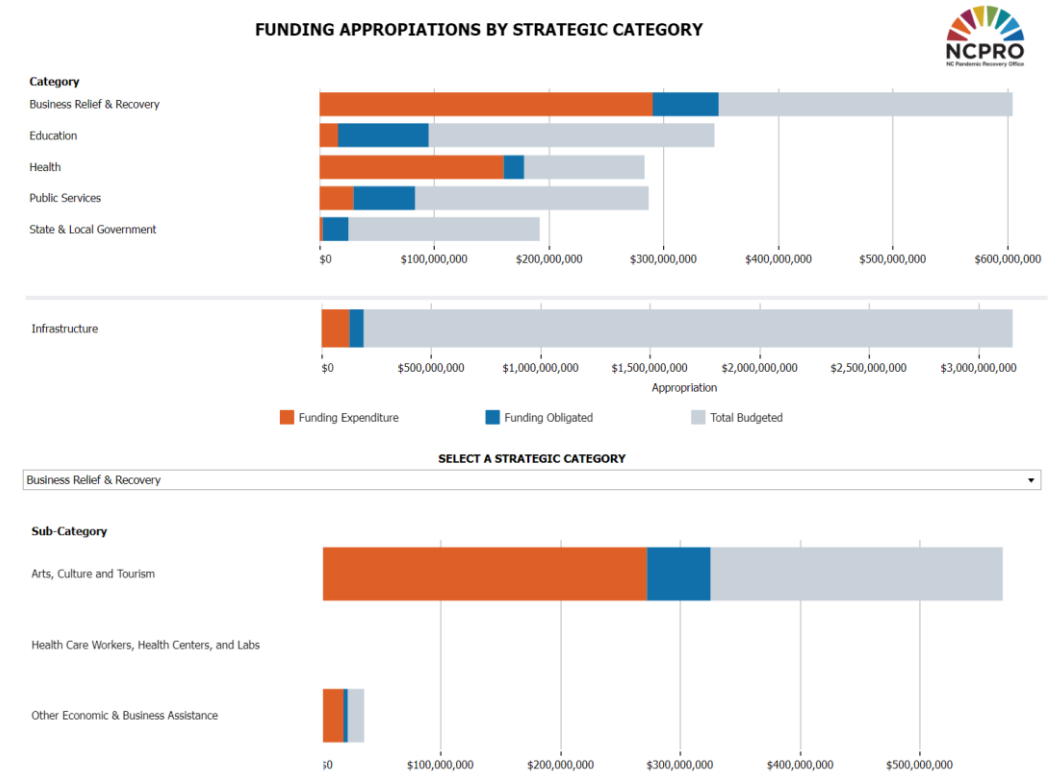
Combined discovery and design sessions were used to determine and document the following:

- Operational metrics available for projects overseen by NCPRO
- Goals and associated KPI for overall performance management, pulling from findings in the strategic alignment lab and the KPI framework developed as part of MeasureUp Support
- Other relevant data available to utilize in creation of dashboards








2 Expected Deliverables

- A set of **ARPA Allocation Project Status Dashboards** designed to support NCPRO's internal monitoring of the projects through enabling **early identification of projects requiring additional attention** to meet timelines and key milestones, projects at risk of running out of funding, and projects at risk of not reaching completion prior to the end of the funding period.
- A set of **ARPA Performance Executive Dashboards** capturing key performance metrics, enabling NCPRO to monitor overall program performance. These dashboards will be **designed to increase transparency and communicate success to key stakeholders**, as well as understand the impact programs have on the public health and economic needs of North Carolina as it recovers from the COVID-19 pandemic.

3 Sample Public Dashboard



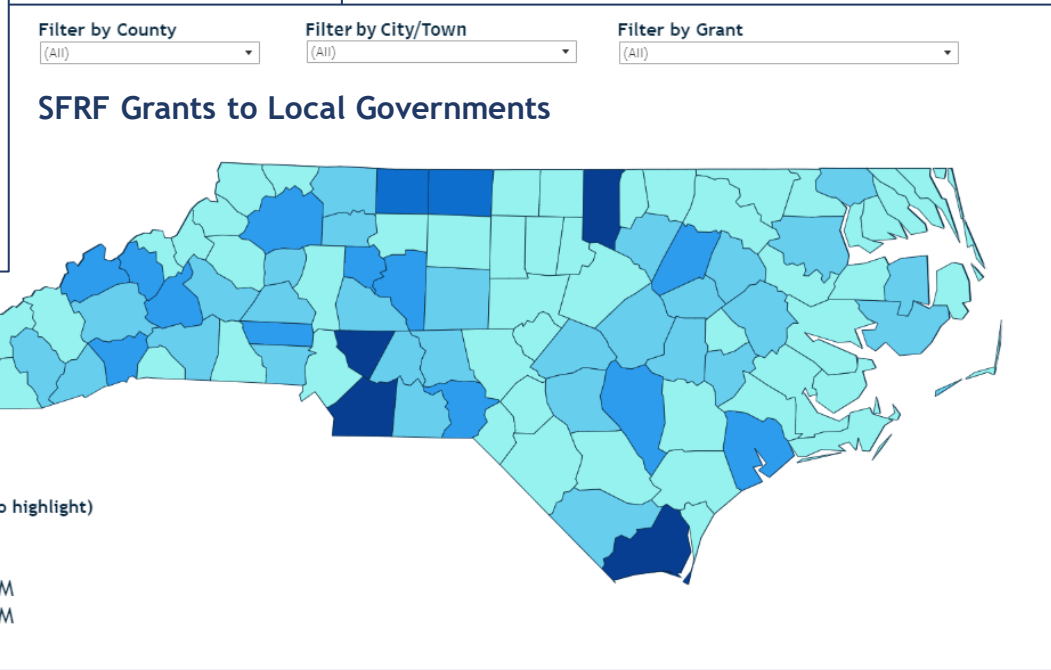
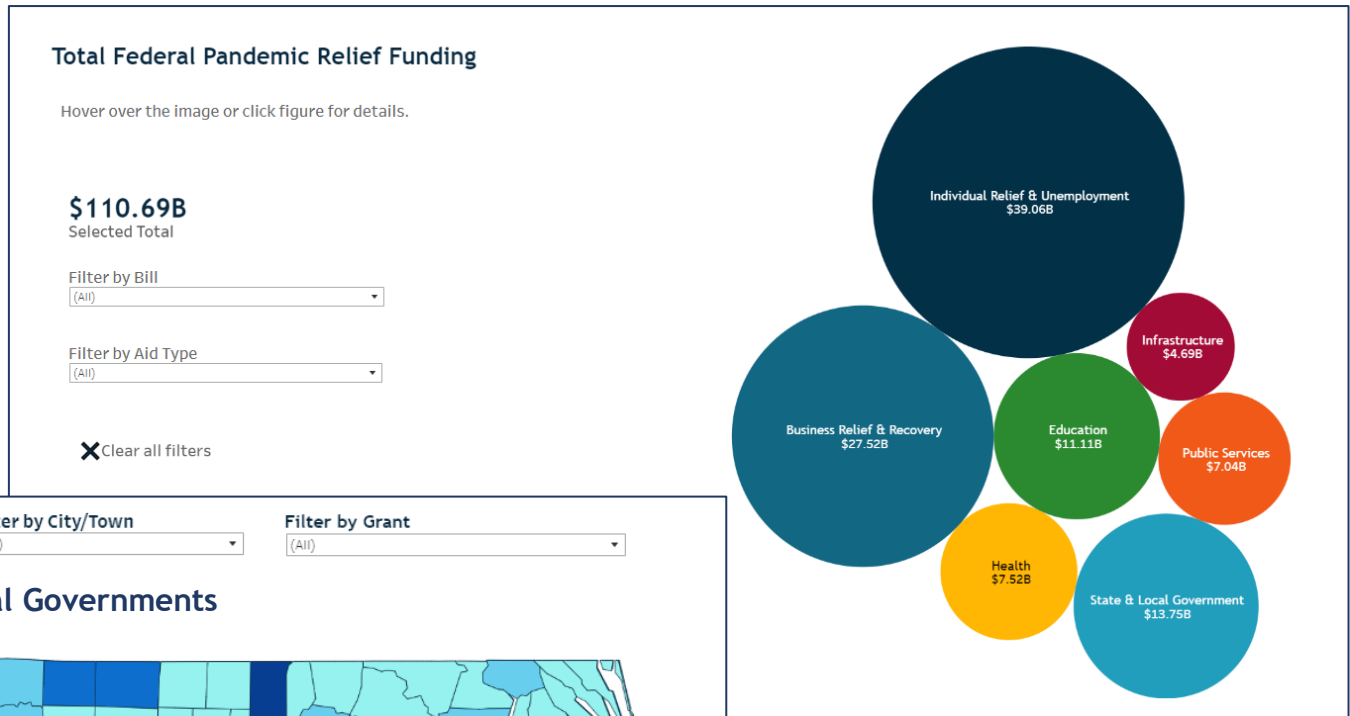
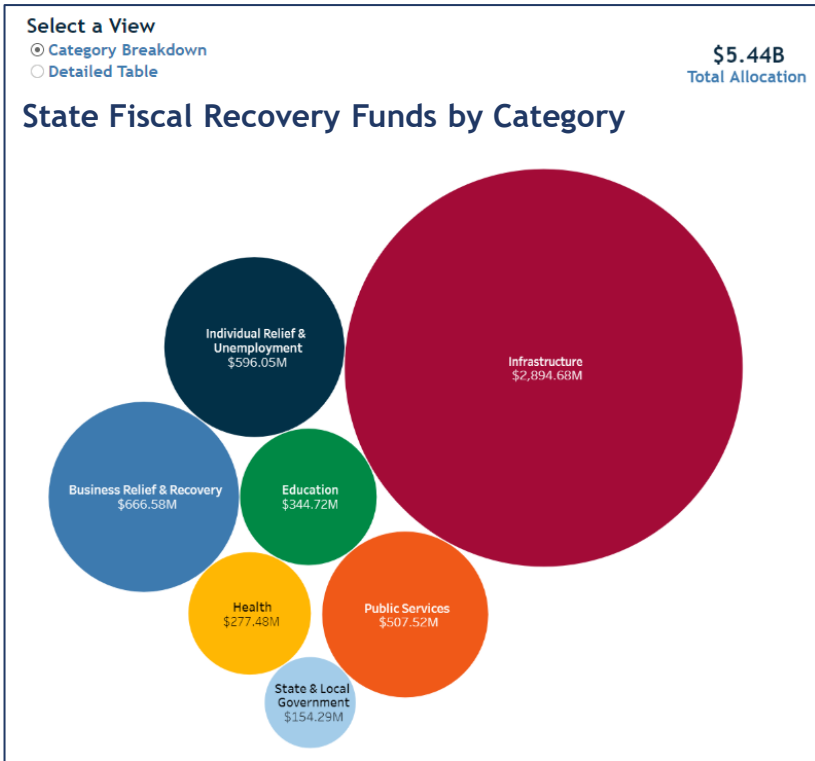
Audit Preparation Leading Practices

	Internal Controls	<p>Establish and maintain effective internal controls that provide reasonable assurance that you are managing the federal award in compliance with Federal statutes, regulations, and the terms and conditions of the federal award</p> <ul style="list-style-type: none">• Complete GAP Analysis of current internal control structure to rules and regulations of program• Use internal controls that comply with guidance in “Standards for Internal Control in the Federal Government” issued by the Comptroller General of the United States and the “Internal Control Integrated Framework”, issued by the Committee of Sponsoring Organizations of the Treadway Commission (COSO)
	Policies & Procedures	<p>Establish and maintain operating policies and procedures that are clearly written and documented</p> <ul style="list-style-type: none">• Communicated to persons involved in grant program as well as subrecipients• Administering staff are trained on policies and procedures• Are monitored and adjusted based of new regulations or guidance
	Risk Assessment	<p>Establish and maintain a risk assessment and monitoring plan</p> <ul style="list-style-type: none">• Perform an annual risk assessment for each program at the State and subrecipient level; if applicable establish risk mitigation controls• Perform a pre-award risk assessment for each sub-recipient before providing funds; if applicable establish risk mitigation controls
	Eligibility Criteria	<p>Establish and maintain documented eligibility requirements for program beneficiaries</p> <ul style="list-style-type: none">• Collect and maintain supporting documentation that shows beneficiaries are eligible• Create and publish program specific guidelines, FAQs, and checklists for applicants
	Grant Agreements	<p>Develop Grant Agreements that include all sub-award conditions and all flow down requirements</p> <ul style="list-style-type: none">• Subrecipients must review, sign, date, and submit the agreement to receive funding from the particular program
	Subrecipient monitoring	<p>Perform Subrecipient Monitoring of grant activities to help ensure compliance with applicable regulations, laws, and grant provisions</p> <ul style="list-style-type: none">• Consistent communication, periodic site visits, and compliances reviews should be completed relative to the subrecipient’s risk rating• Provide ongoing administrative and technical assistance to subrecipients to enable them to comply with grant subaward requirements and maintain their funding
	Fraud, Waste, and Abuse	<p>Provide guidance on the importance of a strong system of internal controls related to the prevention and detection of fraud, waste, and abuse (FWA)</p> <p>Establish a third party or internal FWA hotline and/or website</p> <p>Describe complaint analysis and management procedures when concerns are identified, or complaints are received</p> <p>Establish standardized reporting guidelines for complaints, depending on their action level</p>

What NCPRO Needs from State Agencies

- Communicate with NCPRO and grants manager on a regular basis
- Follow financial management guidance from NCPRO
- Use the NCPRO grants management portal (PANGRAM)
- Participate in state agency stakeholder groups
- Meet reporting deadlines and respond to requests in a timely manner
- Be flexible
- Attend training classes and let NCPRO know if you need additional resources

Relief and Recovery Funds Tracker



The COVID-19 Funding Dashboards can be found online:
<https://ncpro.nc.gov/data-research/covid-19-funding-dashboard>

NCPRO submits reports to federal and state agencies on these funds that can be found online:
<https://ncpro.nc.gov/news-stories/ncpro-reports>

Stories of Recovery and Resilience

NCPRO has launched a new series to tell the stories of North Carolina's pandemic recovery and how COVID-19 funds are making our state more resilient.

These can be found online at <https://ncpro.nc.gov/stories> .



**Small Town Dreams Revived by
American Rescue Plan Act Funds**

THURSDAY, DECEMBER 01, 2022



**Tribes Use Emergency Rental
Assistance to Strengthen
Communities**

MONDAY, NOVEMBER 21, 2022

Polling Question

What are the deadlines for state agencies to obligate and spend State Fiscal Recovery Funds?

- A. Obligate by Dec. 31, 2023; Expend by Dec. 31, 2025
- B. Obligate by Dec. 31, 2024; Expend by Dec. 31, 2026
- C. Obligate by Dec. 31, 2023; Expend by Dec. 31, 2026
- D. Obligate by Dec. 31, 2024; Expend by Dec. 31, 2027